

Exchange notification regarding unusual trading pattern of various clients

With a view to identify and alert the stock brokers and their clients at an early stage for any unusual/abnormal activities, the Exchanges have decided jointly with Securities and Exchange Board of India (SEBI) to issue observation cum caution letters where prima facie unusual/abnormal activities are observed by the Exchange.

In light of the above, we as a SEBI registered stock broker of the Stock Exchanges (NSE & BSE) wish to bring content of the below notifications for your kind attention.

Notifications issued on June 04, 2013

NSE circular (Ref # NSE/INVG/23605) - <https://www.nseindia.com/content/circulars/INVG23605.pdf>

BSE notice (Ref # 20130604-20) –

<http://www.bseindia.com/markets/MarketInfo/DispNewNoticesCirculars.aspx?page=20130604-20>

Notifications issued on June 05, 2012

NSE circular (Ref # NSE/INVG/20934) - <https://www.nseindia.com/content/circulars/INVG20934.pdf>

BSE Notice (Ref # 20120605-30) -

<http://www.bseindia.com/markets/MarketInfo/DispNoticesNCirculars.aspx?page=20120605-30>

Please note that upon receipt of such observation cum caution letters we would be unable to permit unusual/abnormal trading activities. Clients are also advised that they should not undertake or continue similar trading activities with any other stock brokers.

Please get in touch with your relationship manager if you require further clarification.